



02-25-02

A

02/22/02

JC821 U.S. PTO

10060781 022202

| | | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------------------------|----------------------------------------------------------------------------|
| Vidas, Arrett & Steinkraus Utility Patent Application Transmittal | | Atty. Docket No | | S63.2-10401 |
| | | First Inventor | | Lixiao Wang et al. |
| | | Title: | BLOCK COPOLYMER ELASTOMER CATHETER BALLOON | |
| | | Express Mail Label No. | | EL835248744US |
| Application Elements | | Address To: | | Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. | <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Included | | | Pages 2 |
| 2. | <input type="checkbox"/> Applicant claims small entity status | | | |
| 3. | <input checked="" type="checkbox"/> Specification (including 0 pg cover sheet, 14 pg description, 3 pg claims and 1pg abstract) | | | Pages 18 |
| 4. | <input checked="" type="checkbox"/> Drawings | | | Pages 2 |
| 5. | <input checked="" type="checkbox"/> Oath or Declaration a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed) i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application | | | Pages 2 Pages 2 Pages |
| 6. | <input checked="" type="checkbox"/> Application Data Sheet | | | Pages 2 |
| 7. | <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check) <input checked="" type="checkbox"/> Previously recorded on 3/2/95, Reel 7382, Frames 0422 | | | Pages |
| 8. | <input checked="" type="checkbox"/> Power of Attorney <input checked="" type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) | | | Pages 1 Pages 1 |
| 9. | <input type="checkbox"/> English Translation Document | | | Pages |
| 10. | <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of Citations (references) | | | Pages |
| 11. | <input type="checkbox"/> Preliminary Amendment | | | Pages |
| 12. | <input checked="" type="checkbox"/> Return Receipt Postcard | | | Pages 1 |
| 13. | <input type="checkbox"/> Certified Copy of Priority Document | | | Pages |
| 14. | <input type="checkbox"/> Nonpublication Request | | | Pages |
| 15. | <input checked="" type="checkbox"/> Constructive Petition | | | Pages 1 |
| 16. | <input type="checkbox"/> Limited Authorization | | | Pages |
| 17. | <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal | | | Pages 1 |
| 18. | <input type="checkbox"/> Other | | | Pages |
| 19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet | | | | |
| <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. 09/328,781, filed 6/9/99, with a further claim of priority as indicated on the Application Data Sheet. | | | | |
| Prior Application Information: Examiner Michael J. Hayes Group Art Unit 3763 | | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. | | | | |
| 20. TOTAL NUMBER OF PAGES 31 | | | | |
| 21. CORRESPONDENCE ADDRESS | | | | |
|  | | | | |
| 00490 | | | | |
| PATENT TRADEMARK OFFICE | | | | |
| INSERT CUSTOMER NUMBER LABEL ABOVE | | | | |
| Name | Walter J. Steinkraus, Reg. No. 29,592 | | | |
| Signature |  | | Date 2/22/2002 | |

 10/080781
 02/22/02
 JC821 U.S. PTO

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$776.00)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

Deposit Account Number 22-0350

Deposit Account Name Vidas, Arrett & Steinkraus

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | 740.00 |
| 106 | 330 | 206 | 165 | Design filing fee | ---- |
| 107 | 510 | 207 | 255 | Plant filing fee | ---- |
| 108 | 740 | 208 | 370 | Reissue filing fee | ---- |
| 114 | 160 | 214 | 80 | Provisional filing fee | ---- |

SUBTOTAL (1) \$ 740.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------------------|--------------|----------------|----------|
| 22-20** = 2 X | \$18.00 | = 36.00 | |
| Independent Claims 1 - 3** = 0 X | ---- | = | |
| Multiple Dependent | ---- | = | |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|------------------------------------------------------------|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 36.00

**or number previously paid, if greater; For Reissues, see above

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | not yet assigned |
| Filing Date | February 22, 2002 |
| First Named Inventor | Lixiao Wang et al |
| Examiner Name | not yet assigned |
| Group Art Unit | not yet assigned |
| Attorney Docket No. | S63.2-10401 |

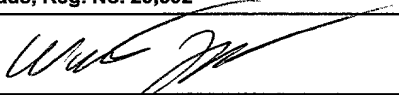
FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|-----------------------|-----------------------|-----------------------|----------------------------------------------------------------------------|--------------------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | ---- |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | ---- |
| 139 | 130 | 139 | 130 | Non-English specification | ---- |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | ---- |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | ---- |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | ---- |
| 115 | 110 | 215 | 55 | Extension for reply within first month | ---- |
| 116 | 400 | 216 | 200 | Extension for reply within second month | ---- |
| 117 | 920 | 217 | 460 | Extension for reply within third month | ---- |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | ---- |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | ---- |
| 119 | 320 | 219 | 160 | Notice of Appeal | ---- |
| 120 | 320 | 220 | 160 | Filing of Appeal | ---- |
| 121 | 280 | 221 | 140 | Request for oral hearing | ---- |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | ---- |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | ---- |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | ---- |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | ---- |
| 143 | 460 | 243 | 230 | Design issue fee | ---- |
| 144 | 620 | 244 | 310 | Plant issue fee | ---- |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | ---- |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | ---- |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | ---- |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | ---- |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | ---- |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | ---- |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | ---- |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | ---- |
| Other Fee (specify) _____ | | | | | (\$)0 |
| *Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) (\$)0 |

Walter J. Steinkraus, Reg. No. 29,592

Signature



Telephone 952-563-3000

Date February 22, 2002

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|------------------------------|---------------------------------------------|
| In re Application of: | Lixiao Wang et al. |
| Application No.: | not yet assigned |
| Filed: | February 22, 2002 |
| For: | Block Copolymer Elastomer Catheter Balloons |
| Examiner: | not yet assigned |
| Group Art Unit: | not yet assigned |

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Docket No.: S63.2-10401

**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,
VIDAS, ARRETT & STEINKRAUS

Date: February 22, 2002

By:



Walter J. Steinkraus, Reg. No. 29,592

6109 Blue Circle Drive, Suite 2000
Minnetonka, MN 55343-9185
Telephone: (952) 563-3000
Facsimile: (952) 563-3001

20022202 FEB 22 2002